Distributed Systems – CS425/CSE424/ECE428 – Fall 2011

Failure Detection

Key Properties

- Multiple computers
  - Concurrent execution
  - Independent failures
  - Autonomous administrators
  - Heterogeneous capacities, properties
  - Large numbers (scalability)
- Networked communication
  - Asynchronous execution
  - Unreliable delivery
  - Insecure medium
- Common goal
  - Consistency – can discuss whole-system properties
  - Transparency – can use the system without knowing details

Objectives

- How do we detect failures?
- Models
  - Failures
  - Networks
- Properties
  - Guarantees
  - Metrics
- Techniques

Failure Model

- What is a failure?
- Process omission failure
  - Crash-stop (fail-stop) – a process halts and does not execute any further operations
  - Crash-recovery – a process halts, but then recovers (reboots) after a while
- We will focus on Crash-stop failures
  - They are easy to detect in synchronous systems
  - Not so easy in asynchronous systems

Two Different System Models

- Synchronous Distributed System
  - Each message is received (successfully) within bounded time
  - Each step in a process takes lb < time < ub
  - (Each local clock's drift has a known bound)
- Asynchronous Distributed System
  - No bounds on message transmission delays
  - No bounds on process execution
  - (The drift of a clock is arbitrary)
- Which is more realistic?
  - Synchronous: Multiprocessor systems
  - Asynchronous: Internet, wireless networks, datacenters, most real systems

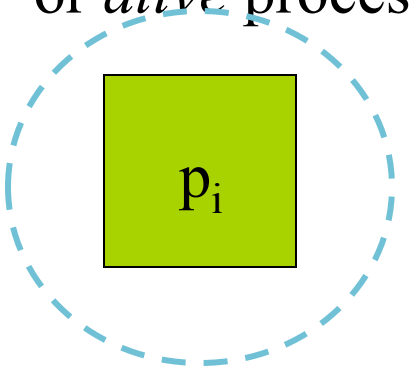
What's a failure detector?

What's a failure detector?

Crash-stop failure
(p_j is a *failed* process)

What's a failure detector?

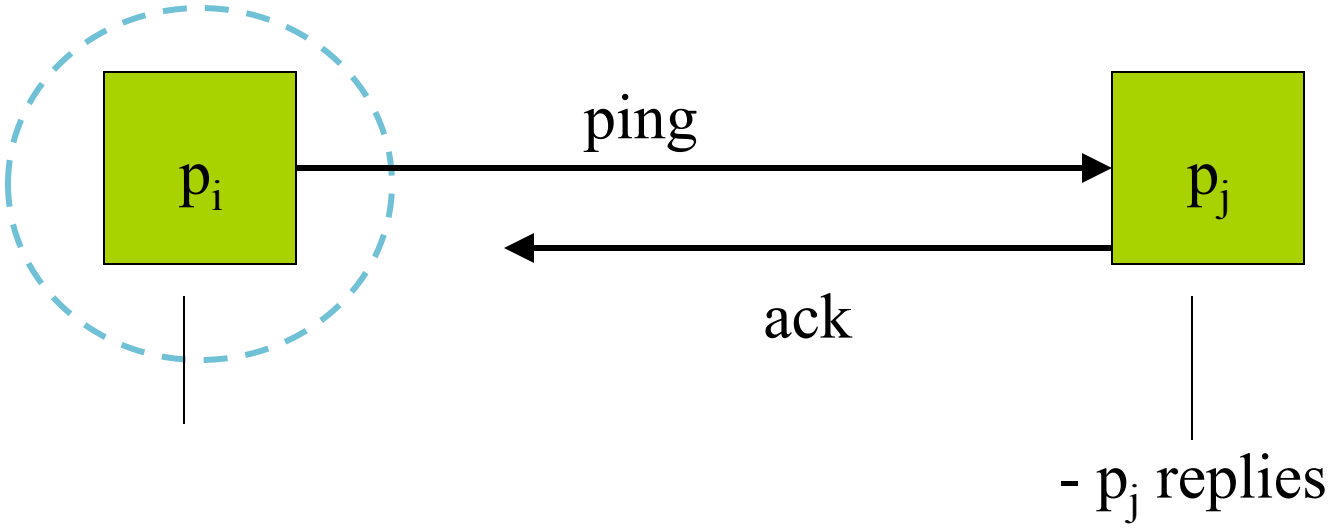
needs to know about p_j's failure
(p_i is a *non-faulty* process
or *alive* process)



Crash-stop failure
(p_j is a *failed* process)

There are two styles of failure detectors

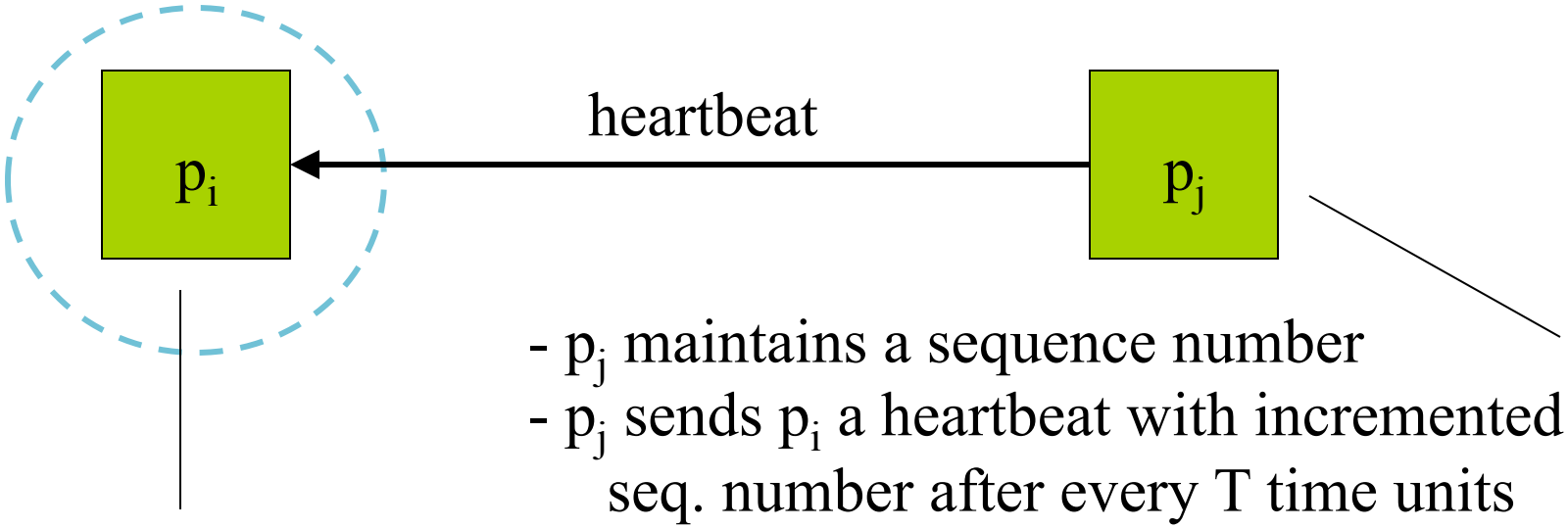
I. Ping-Ack Protocol



- p_i queries p_j once every T time units
- if p_j does not respond within another T time units of being sent the ping, p_i detects p_j as failed

If p_j fails, then within T time units, p_i will send it a ping message. p_i will time out within another T time units.
Worst case Detection time = 2T
The waiting time 'T' can be parameterized.

II. Heartbeating Protocol



-if p_i has not received a new heartbeat for the past, say 3T time units, since it received the last heartbeat, then p_i detects p_j as failed

If T ≫ round trip time of messages, then worst case detection time ~ 3T (why?)

The '3' can be changed to any positive number since it is a parameter

In a Synchronous System

- The Ping-ack and Heartbeat failure detectors are always correct
  - Ping-ack: set waiting time *T* to be > round-trip time upper bound
  - Heartbeat: set waiting time *3T* to be > round-trip time upper bound
- The following property is guaranteed:
  - If a process p_j fails, then p_i will detect its failure as long as p_i itself is alive
  - Its next ack/heartbeat will not be received (within the timeout), and thus pi will detect p_j as having failed

Failure Detector Properties

- Completeness = every process failure is eventually detected (no misses)
- Accuracy = every detected failure corresponds to a crashed process (no mistakes)
- What is a protocol that is 100% complete?
- What is a protocol that is 100% accurate?
- Completeness and Accuracy
  - Can both be guaranteed 100% in a synchronous distributed system
    (with reliable message delivery in bounded time)
  - Can never be guaranteed simultaneously in an asynchronous distributed system
  - Why?

Satisfying both Completeness and Accuracy in Asynchronous Systems

- Impossible because of arbitrary message delays, message losses
  - If a heartbeat/ack is dropped (or several are dropped) from p_j, then p_j will be mistakenly detected as failed => inaccurate detection
  - How large would the T waiting period in ping-ack or *3T* waiting period in heartbeating, need to be to obtain 100% accuracy?
  - In asynchronous systems, delay/losses on a network link are impossible to distinguish from a faulty process
- Heartbeating – satisfies completeness but not accuracy (why?)
- Ping-Ack – satisfies completeness but not accuracy (why?)

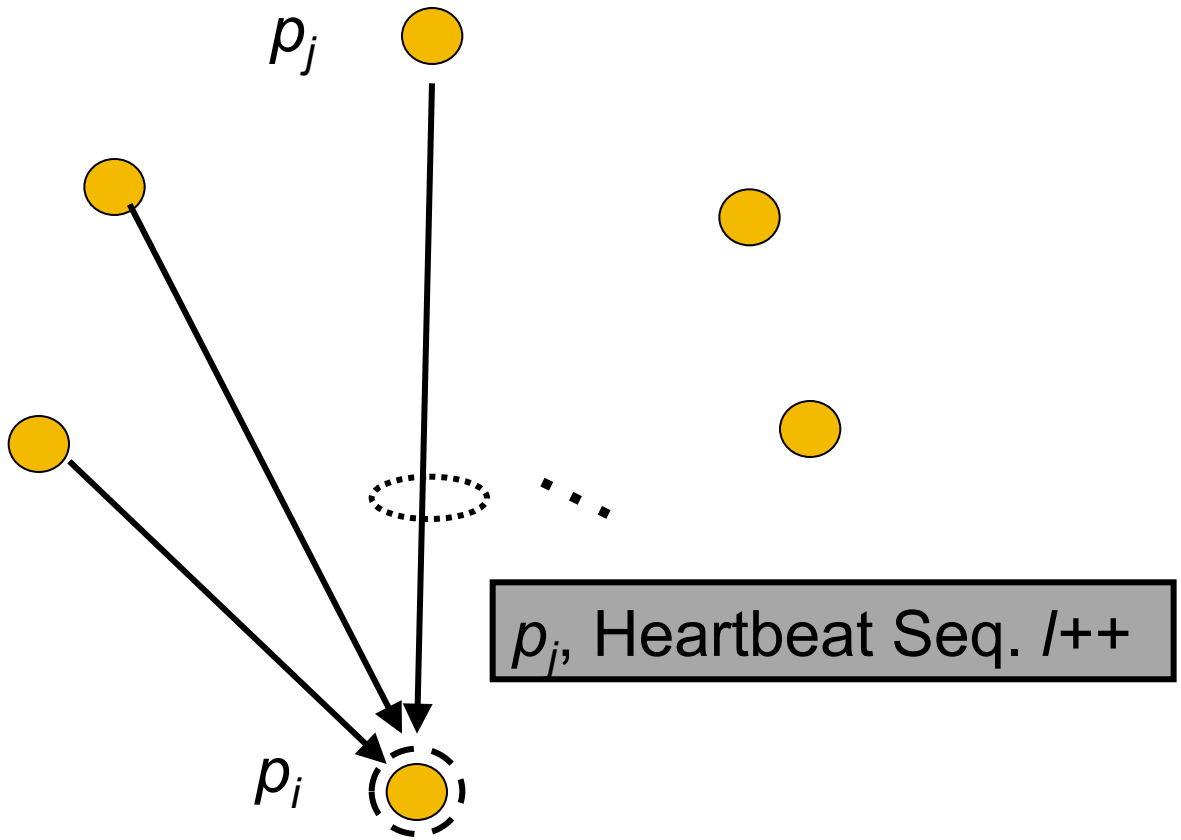
Completeness or Accuracy? (in asynchronous system)

- Most failure detector implementations are willing to tolerate some inaccuracy, but require 100% Completeness
- Plenty of distributed apps designed assuming 100% completeness, e.g., p2p systems
  - "Err on the side of caution".
  - Processes not "stuck" waiting for other processes
- But it's ok to mistakenly detect once in a while since – the victim process need only rejoin as a new process
- Both Hearbeating and Ping-ack provide
  - Probabilistic accuracy (for a process detected as failed, with some probability close to 1.0 (but not equal), it is true that it has actually crashed).

Failure Detection in a Distributed System

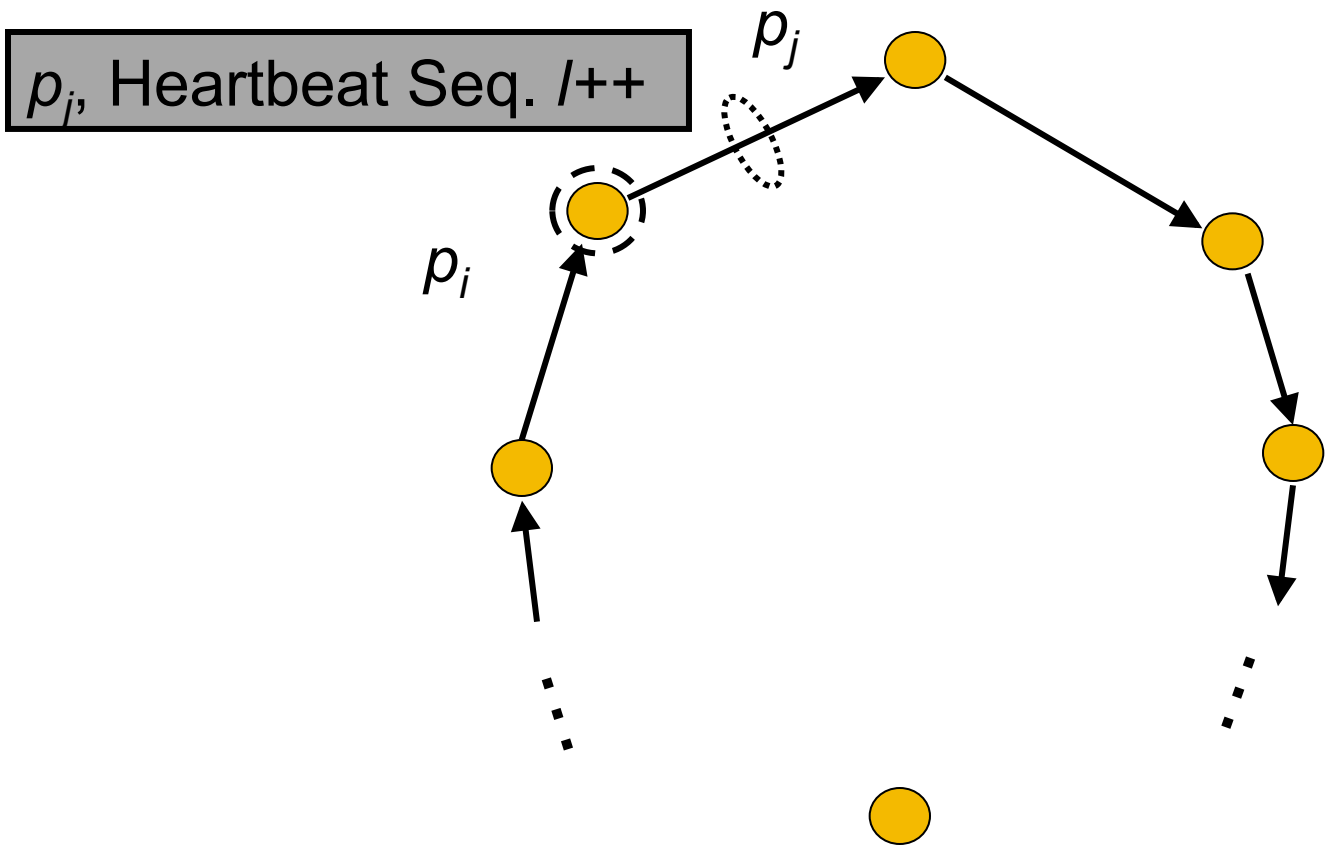
- That was for one process p_j being detected and one process pi detecting failures
- Let's extend it to an entire distributed system
- Difference from original failure detection is
  - We want failure detection of not merely one process (p_j), but all processes in system

Centralized Heartbeating



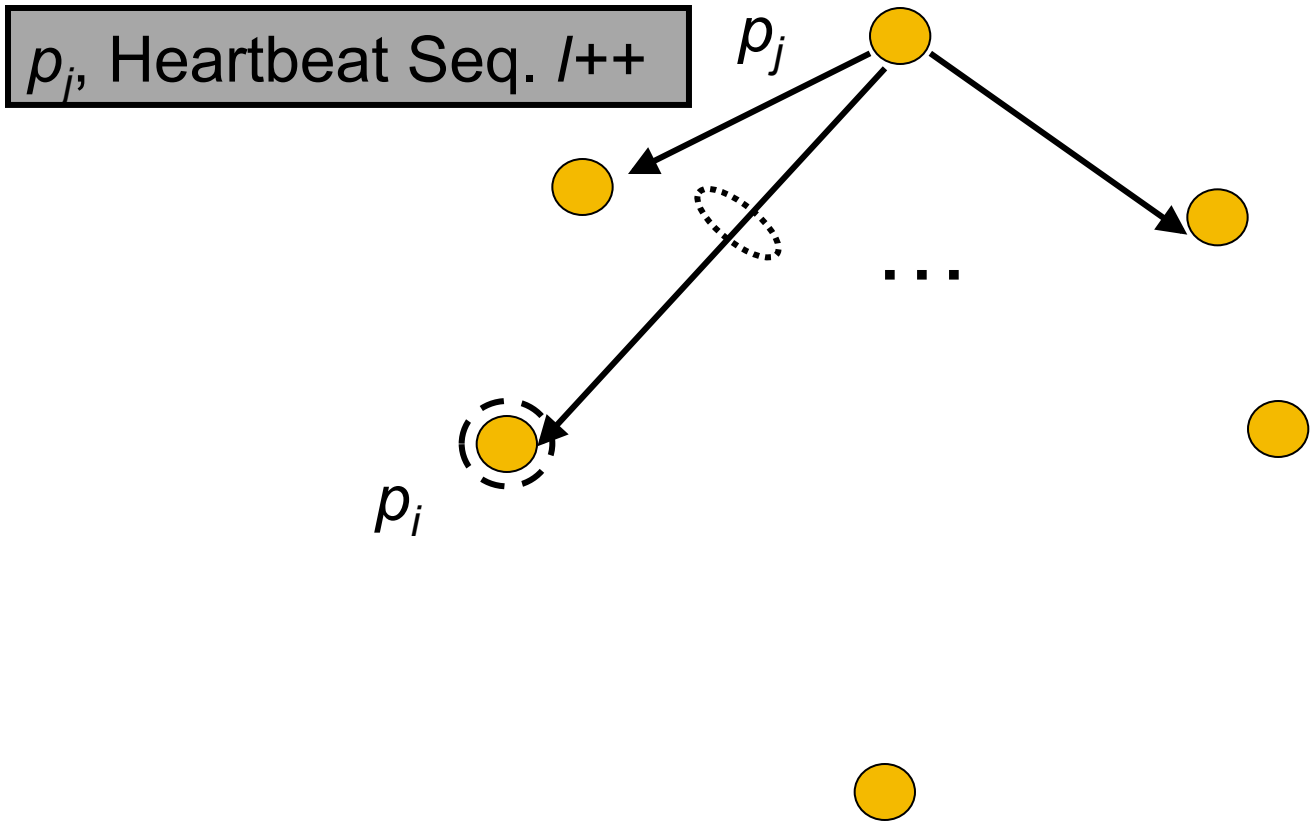
Downside?

Ring Heartbeating



Downside?

All-to-All Heartbeating



Advantage: Everyone is able to keep track of everyone
Downside?

Efficiency of Failure Detector: Metrics

- Bandwidth: the number of messages sent in the system during steady state (no failures)
  - Small is good
- Detection Time
  - Time between a process crash and its detection
  - Small is good
- Scalability: Given the bandwidth and the detection properties, can you scale to a 1000 or million nodes?
  - Large is good
- Accuracy
  - Large is good (lower inaccuracy is good)

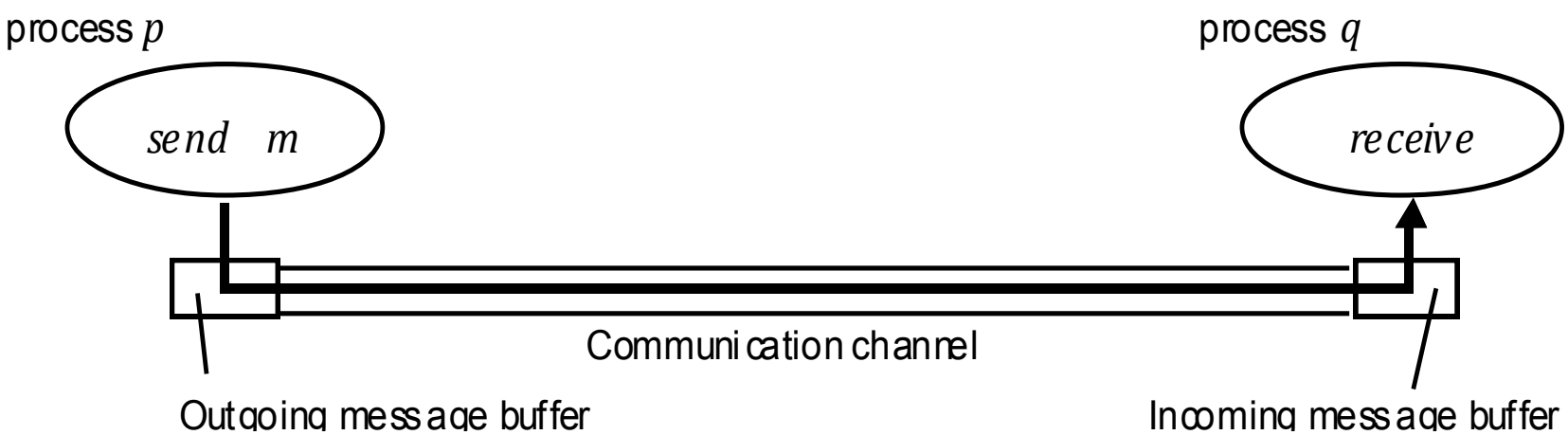
Accuracy metrics

- **False Detection Rate**: Average number of failures detected per second, when there are in fact no failures
- Fraction of failure detections that are false
- Tradeoffs: If you increase the T waiting period in ping-ack or 3T waiting period in heartbeating what happens to:
  - Detection Time?
  - False positive rate?
  - Where would you set these waiting periods?

Other Types of Failures

- Let's discuss the other types of failures
- Failure detectors exist for them too (but we won't discuss those)

Processes and Channels



Other Failure Types

- Communication omission failures
  - Send-omission: loss of messages between the sending process and the outgoing message buffer (both inclusive)
    - What might cause this?
  - Channel omission: loss of message in the communication channel
    - What might cause this?
  - Receive-omission: loss of messages between the incoming message buffer and the receiving process (both inclusive)
    - What might cause this?

Other Failure Types

- Arbitrary failures
  - Arbitrary process failure: arbitrarily omits intended processing steps or takes unintended processing steps.
  - Arbitrary channel failures: messages may be corrupted, duplicated, delivered out of order, incur extremely large delays; or non-existent messages may be delivered.
- Above two are Byzantine failures, e.g., due to hackers, man-in-the-middle attacks, viruses, worms, etc.
- A variety of Byzantine fault-tolerant protocols have been designed in literature!

Omission and Arbitrary Failures

Class of failure	*Affects*	*Description*
Fail-stop	Process	Process halts and remains halted. Other processes may detect this state.
Omission	Channel	A message inserted in an outgoing message buffer never arrives at the other end's incoming message buffer.
Send-omission	Process	A process completes a *send*, but the message is not put in its outgoing message buffer.
Receive-omission	Process	A message is put in a process's incoming message buffer, but that process does not receive it.
Arbitrary (Byzantine)	Process or channel	Process/channel exhibits arbitrary behaviour: it may send/transmit arbitrary messages at arbitrary times, commit omissions; a process may stop or take an incorrect step.

Summary

- Failure detectors are required in distributed systems to keep system running in spite of process crashes
- Properties – completeness & accuracy, together unachievable in asynchronous systems but achievable in synchronous sytems
  - Most apps require 100% completeness, but can tolerate inaccuracy
- 2 failure detector algorithms - Heartbeating and Ping
- Distributed FD through heartbeating: Centralized, Ring, All-to-all
- Metrics: Bandwidth, Detection Time, Scale, Accuracy
- Other Types of Failures

Next Week

- Reading for Next Topics: Sections 11.1-11.5
  - Time and Synchronization
  - Global States and Snapshots